

BRIDGEND COUNTY BOROUGH COUNCIL

REPORT TO AUDIT COMMITTEE

15 NOVEMBER 2018

REPORT OF THE CHIEF INTERNAL AUDITOR

AUDIT REPORT – INFORMATION MANAGEMENT FOLLOW UP

1. Purpose of report

- 1.1 The purpose of this report is to present to Members the follow up audit in respect of the Information Management review undertaken by the South West Audit Partnership on behalf of the Council's Internal Audit Shared Service (IASS) and which formed part of the Council's 2017/18 Audit Plan.

2. Connection to corporate improvement objectives/other corporate priorities

- 2.1 This report assists in the achievement of the following corporate priority/priorities:
- Smarter use of resources – ensuring that all its resources (financial, physical, human and technological) are used as effectively and efficiently as possible and support the development of resources throughout the community that can help deliver the Council's priorities.

3. Background

- 3.1 Following the presentation of the Healthy Organisation Review submitted to the Audit Committee on 28th June 2018 and as a consequence of the South West Audit Partnership being unable to form a conclusion on Information Management, a follow up review was commissioned during the first quarter of 2018/19.

4. Current situation/proposal

- 4.1 Information Management is an important aspect of governance for an organisation. Effective Information Management will facilitate and support efficient working, better decision-making, improved customer service and business transformation to facilitate the delivery of key priorities and objectives. Attached at **Appendix A** is the follow up audit report. Set out from pages 15 onwards are the recommendations for improvement and these have been reviewed with the relevant manager and a management response is included for information.
- 4.2 The assurance for Information Management follow up referred to above has been reviewed and depicted as an overall Medium Assurance Opinion. However, it should be noted that due to the passage of time from the completion of this follow up review to the submission to the Council's Audit Committee, the relevant service areas have actioned a significant number of the recommendations made.

5. Effect upon policy framework and procedure rules

- 5.1 There is no effect upon the policy framework and procedure rules.

6. Equality Impact Assessment

6.1 There are no equality implications arising from this report.

7. Well-being of Future Generations (Wales) Act 2015 implications

7.1 The well-being goals identified in the Act were considered in the preparation of this report. It is considered that there will be no significant or unacceptable impacts upon the achievement of well-being goals/objectives as a result of this report.

8. Financial implications

8.1 Effective Audit planning and monitoring are key contributors in ensuring that the Council's assets and interests are properly accounted for and safeguarded.

9. Recommendation

9.1 That Members give due consideration to the content of the Healthy Organisation Follow Up Review and the actions that have already been taken to address those areas requiring attention.

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Background Documents

None